

Tim Ellis, Patent Attorney  
8680 Via Mallorca, Suite D  
La Jolla, CA 92037  
telephone: (858)455-7977 or (800)520-7977  
fax: (858)455-7677 or (800)925-7677  
email: tim@ellispatent.com

RECEIVED  
CENTRAL FAX CENTER

AUG 21 2006

# Facsimile Cover Sheet

## Confidential

DATE: August 21, 2006

TO: United States Patent and Trademark Office

FAX NUMBER: (571)273-8300

NUMBER OF PAGES INCLUDING THIS COVER SHEET: 20

SUBJECT: Patent Application No. 10/739,228  
Attorney Docket No. SJO920030054US1

The following documents are included in this facsimile transmission:

- 1) Facsimile cover sheet
- 2) Transmittal form
- 3) Amendment (18 pages)

**CONFIDENTIALITY NOTICE: THIS FACSIMILE CONTAINS CONFIDENTIAL INFORMATION. IF YOU ARE NOT THE INTENDED RECIPIENT, PLEASE DO NOT READ THIS FACSIMILE, AND PLEASE DESTROY ALL COPIES AND NOTIFY THE SENDER AT (800)520-7977. THANK YOU.**

**RECEIVED**  
**CENTRAL FAX CENTER**

AUG 21 2006

PTO/SB/21 (08-04)

Approved for use through 07/31/2006. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

20

Application Number 10/739,228

Filing Date 12/17/2003

First Named Inventor Lamb

Art Unit 2186

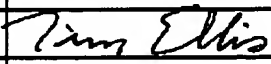
Examiner Name Seede, Usmaan

Attorney Docket Number SJC020030054US1

**ENCLOSURES (Check all that apply)**

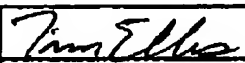
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Facsimile cover sheet
Remarks		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name	Tim Ellis, Patent Attorney		
Signature			
Printed name	Timothy N. Ellis		
Date	August 21, 2006	Reg. No.	41,734

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Timothy N. Ellis	Date	August 21, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

RECEIVED  
CENTRAL FAX CENTER

UNITED STATES PATENT AND TRADEMARK OFFICE

AUG 21 2006

Applicant: Lamb et al.	)	Group Art Unit: 2166
	)	
Application No. 10/739,228	)	Examiner: Saeed, Usmaan
	)	
Filing Date: 12/17/2003	)	
	)	
Confirmation No. 1120	)	
	)	
Attorney Doc. No. SJO920030054US1	)	
	)	
Title: Common Information Model	)	
Utilities	)	

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
FACSIMILE TRANSMITTED TO THE USPTO OR DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE WITH  
SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO:

MAIL STOP AMENDMENT  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450  
ON (DATE) AUGUST 21, 2006

Tim Ellis  
TIMOTHY N. ELLIS, REG. NO. 41,734

AMENDMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Amendment is responsive to the office communication mailed 6/14/06.  
Please amend the claims as indicated beginning on page 2 of this paper.  
Remarks begin on page 9 of this paper.

Application No. 10/739,228  
Attorney Docket No. SJO920030054US1

-1-